EXECUTIVE SUMMARY

Informing Ethical Translation of Xenotransplantation Clinical Trials

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Background: The United States has a shortage of human organs for transplantation. For years, xenotransplantation—transplanting organs from nonhuman animals into humans—has been proposed as a strategy to alleviate the scarcity of human organs. We conducted a four-year study that examined ethical and policy challenges to the development, oversight, and conduct of first-in-human pig kidney xenotransplant clinical trials (henceforth pig kidney clinical trials).

Purpose: The multi-site study was designed to engage key stakeholders—including kidney patients waitlisted for a human kidney, transplant clinicians, and human subjects research experts—to assess their perceptions of ethical and policy challenges to conducting a pig kidney clinical trial. Engagement activities included conducting: in-depth interviews with 28 kidney patients; an online survey of 142 kidney patients; in-depth interviews with 28 transplant experts; and in-depth interviews with 23 chairs of Institutional Review Boards (IRBs) and other research ethics experts.

Practical Tools: The findings from data collection—along with input from our Ethics and Policy Advisory Committee that included allotransplant recipients and a living kidney donor—informed the development of several practical tools:

- A <u>decision-aid</u> to support kidney patients' decisions whether to enroll in a pig kidney clinical trial.
- An <u>informed consent prototype</u> that addresses kidney patients' information needs about a pig kidney clinical trial to support patient-centered informed consent for clinical trial participation.
- <u>Case studies</u> to help transplant teams reflect on ethical issues about pig kidney clinical trials to help them become better prepared to conduct such trials.
- A <u>checklist</u> to assist IRB members to review research study protocols and informed consent forms for pig kidney clinical trials.
- <u>Ethics and policy recommendations</u> about the ethical translation of pig kidney clinical trials.

Publications: To date, we published 4 papers. One peer-reviewed paper was published in *The* <u>American Journal of Transplantation</u> about ethical considerations for heart and lung xenotransplant research. Three peer-reviewed papers were published in Xenotransplantation about: <u>patients'</u> <u>decision-making</u> about enrolling in a pig kidney clinical trial; <u>patients' information needs</u> for considering whether to enroll in a pig kidney clinical trial; and ethical issues regarding <u>patients'</u> <u>voluntariness</u> to participate in a pig kidney clinical trial. We published an <u>Op-Ed in the Washington</u> <u>Post</u> in 2022 following the first pig heart xenotransplant in which we called for an urgent look at the ethics and policy implications of xenotransplantation.





VANDERBILT WUNIVERSITY MEDICAL CENTER **Presentations:** We gave several paper and poster <u>presentations</u> at professional meetings of the American Transplant Congress and the American Society for Bioethics and Humanities. We also created and presented two Collaborative Institutional Training Initiative (CITI) webinars on: research and clinical ethics considerations for pig kidney clinical trials; and the ethical and policy challenges of performing xenotransplants under the FDA's Expanded Access/Compassionate Use program.

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